INFORMATION DISCLOSURE STATEMENT BY APPLICANT PTO-1449

DOCKET NO. 12170/6		SERIAL NO. 09/809,025	
APPLICANT Jeffrey LANGE	•		
FILING DATE March 16, 2001	•	GROUP 2812	

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
HTD	5,275,400	January 4, 1994	Weingardt et al.			34.5
	6,134,536	October 17, 2000	Shepherd			
<u> </u>	6,321,212	November 20, 2001	Lange			

FOREIGN PATENT DOCUMENTS

	<u> </u>			 	Т	 _	
EXAMINER	DOCUMENT					TRANS	LATION .
INITIAL	NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	01/08063	Kehnian I William					
	\	February I, 2001	WO			X	
		_L					

OTHER DOCUMENTS

	<u> </u>	OTHER DOCUMENTS		
. :	EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.		
		Garahi et al., U.S. Patent Publication No. 2001/0047291, published November 29, 2001.	HTO	••
10	* H1D)	Burton II S Patent Publication No. 2002/207222	170 9/19	7106
P	2	Christian S. Pedersen, "Derivatives and downside risk", Derivatives Use, Trading & Regulat volume 7, issue 3, London, pp. 251-268, 2001.		<u>_</u>
(RECEIVED	<u>'</u> ' V	m 75.
\	· .	TEOEIVED-		
. \ L		OCT 1 0 2002		
· }		GROUP 3600		
	EXAMINER Hari	sh Das - Date considered Aug 29	0,-	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and

not considered. Include copy of this form with next communication to applicant.

NY01 518033 v 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT PTO-1449

DOCKET NO.	SERIAL NO.
12170/6	09/809,025
APPLICANT . Jeffrey LANGE	•
FILING DATE	GROUP
March 16, 2001	2812

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING
HTD	5,275,400	January 4, 1994	Weingardt et al.			DATE'
	6,134,536	October 17, 2000	Shepherd			· · · · · · · · · · · · · · · · · · ·
<u> </u>	6,321,212	November 20, 2001	Lange			
		<u> </u>			·	

FOREIGN PATENT DOCUMENTS

EXAMINER	DOCUMENT					TRANSI	ATION
INITIAL	NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	МО
	01/08063	February 1, 2001	- 1200				
		1 001441 1, 2001	WO			X	
			·				

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
470	Garahi et al., U.S. Patent Publication No. 2001/0047291, published November 29, 2001.
HTD	Burton, U.S. Patent Publication No. 2002/0052819, published May 2, 2002.
	Christian S. Pedersen, "Derivatives and downside risk", Derivatives Use, Trading & Regulation volume 7, issue 3, London, pp. 251-268, 2001.
	RECEIVED
	OCT 1 0 2002

GROUP 3600

EXAMINER Harish Das

DATE CONSIDERED Aug 29,05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

oct 3,02